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úr Docket No: 42390P11552

2193 #3 5p 8-13-03

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Ramesh Pendakur	) Examiner:	Not Yet Assigned
Application No: 10/043,918	) Art Unit:	Not Yet Assigned
Filed: January 11, 2002	)	
For: Content-Based Caching and Routing of Content Using Subscription	) : )	RECEIVED
Information From Downstream Node	es)	AUG <b>0 7</b> 2003
	_/	Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO-1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form PTO-1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

#### FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that I am causing the above-referenced correspondence to be deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and that this paper or fee has been addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10 12 20 5

Date of Deposit: July 31, 2003

Name of Person Mailing Correspondence: Lori E. True

Signature July 31, 2003
Date

Docket No.: 42390P11552 Application No.: 10/043,918 Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The I	(a) within three momenths of the date the international apthe merits, or (d) b	ure Statement is being for the of the filing date of of entry into the national eplication, or (c) before the fore the mailing date of the deamination under §	the patent app I stage as set for the mailing date a First Office	orth in 37 C.F e of a first Of	F.R. § 1.491 of fice Action on
		r (d) above, but before th a Notice of Allowance un	•		
	the Certific	eation under 37 C.F.R. §	1.97(e) (see "C	ertification"	below).
	the fee of \$	180 set forth in 37 C.F.R	§ 1.17(p) (see	e "Fees" belo	ow).
	Allowance under 3 § 1.97(e) (see "Cer Information Disclo	late of a final action unde 37 C.F.R. § 1.311, and incrtification" below), a Peti osure Statement (see "Pet § 1.17(i) (see "Fees" below.	cludes the Cert tion requesting ition" below),	ification und consideration	er 37 C.F.R. on of the
Copie	Attached to each re	es ences listed on the enclose eference not in the Englise to 37 C.F.R. § 1.98(a)(3)	h language is a		
	A copy of the forei	gn search report is enclose	sed herewith.		
	parent application furnished at that the submitted herewith The Examiner is re accordance with the Procedure. In accordance application(s) relie	d on the enclosed Form I of the present application me. Accordingly, addition, so as not to burden the espectfully requested to care requirements set out in ordance with 37 C.F.R. § d upon for an earlier filingences were previously fur	, and copies of the copies of the copies of the file with duplicate arefully review the Manual of 1.98(d), the deg date under 3	the references the references cate copies of the reference Patent Example tails of the part of the par	es were s are not f references. es in nining arent
	U.S. APPLI	CATIONS	St	atus (check o	one)
	S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.					
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Docket No.: 42390P11552 Application No.: 10/043,918

3.

# **Other Applications**

$\boxtimes$	The Examiner's attention is directed to the following U.S	. patent application(s):
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U.S. APPLICATIONS		Status (check one)		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.			X	

## Certification

	The <b>undersigned attorney</b> hereby certifies that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
	The <b>undersigned attorney</b> hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application or, to the knowledge of the attorney signing after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.
Petitio	on ·
	The undersigned attorney hereby petitions the Commissioner to consider this Information Disclosure Statement and the references listed on the enclosed Form PTO-1449 in the examination of the above-identified patent application.
Fees	
	No fee is owed by the applicant(s). The IDS Fee of \$180.00 under 37 C.F.R. § 1.17(p) is enclosed herewith. The Petition Fee of \$130.00 set forth in 37 C.F.R. § 1.17(i) is enclosed herewith.
Metho	od Of Payment Of Fees
	Attached is a check in the amount of \$ 180.00 Charge Deposit Account No. 02-2666 in the amount of \$. (A duplicate copy of this

Docket No.: 42390P11552 Application No.: 10/043,918

communication is enclosed for that purpose.)

## **Authorization To Charge Additional Fees**

$\boxtimes$	If any additional fees are owed in connection with this communication, please charge
	Deposit Account No. 02-2666. (A duplicate copy of this communication is enclosed
	for that purpose.)

# **Instructions As To Overpayment**

Credit Account No. 02-2666.
Refund

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 7/31/03

Aslam A. Jaffery Reg. 51,841

12400 Wilshire Blvd., 7th Floor Los Angeles, CA 90025-1030 (303) 740-1980 (303) 740-6962 (facsimile)

Docket No.: 42390P11552 Application No.: 10/043,918 1 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

(modified) ORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

(use as many sheets as necessary)

Sheet	1	of	1
Sileet	'	UI	'

Compl	lete if known	
Application Number	10/043,918 RECEI	<b>VED</b>
Filing Date	January 11, 2002	2002
First Named Inventor	Ramesh Pendakur AUG 0 7	2003
Group Art Unit	Not yet assigned Technology Co	nter 210
Examiner Name	Not yet assigned	
Attorney Docket Number	42390P11552	

	Other Prior Art - Non Patent Literature Documents					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>			
		United States Patent Application Publication, Publication No. US	÷			
		2001/003828 A1, PETERSON, et al., Publication Date June 14, 2001.				
		United States Patent Application Publication, Publication No.				
		2001/0012299 A1, DAHLEN, Publication Date August 9, 2001.				

# **Foreign Patent Documents**

Examiner's Initials	Date	Document Number	Country	Class	Sub- Class	Translation
	04-04-2001	1089201 A1	EP			Yes
	09-02-1999	99/44159	WO			Yes
	12-06-2001	01/93524 A2	wo			Yes
	07-24-2001	97/26729	wo			Yes

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.